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MAIL STOP: APPEAL BRIEF-PATENTS

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Date: February 28, 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Before the Board of Patent Appeals and Interferences

Applic. No.

10/089,705

Confirmation No.:

2723

Inventor

Karlheinz Beyrich et al.

Filed

June 3, 2002

Title

Apparatus for Transferring Membranes to a

Continuously Operable Sealing Carrousel

for the Heat Sealing of Can-Like

Packaging Materials

TC/A.U.

3721

Examiner

Christopher R. Harmon

Customer No.

24131

Hon. Commissioner for Patents Alexandria, VA 22313-1450

# SUBSTITUTE BRIEF ON APPEAL

#### Sir:

This is an appeal from the final rejection in the Office action dated April 5, 2004, finally rejecting claims 1-11. This substitute brief is provided in response to the Notification of Non-Compliance with 37 CFR 1.192(c), dated January 26, 2005.

## Real Party in Interest:

This application is assigned to Gebrüder Leonhardt GmbH & Co.

KG Blema Kircheis of Aue, Germany. The assignment will be submitted for recordation upon the termination of this appeal.

## Related Appeals and Interferences:

No related appeals or interference proceedings are currently pending which would directly affect or be directly affected by or have a bearing on the Board's decision in this appeal.

### Status of Claims:

Claims 1-11 are rejected and are under appeal. No claims were cancelled.

#### Status of Amendments:

No claims were amended after the final Office action. A

Notice of Appeal was filed on October 8, 2004. No Advisory

Action has been received yet.

## Summary of the Claimed Subject Matter:

As stated in the first paragraph on page 1 of the specification of the instant application, the invention relates to an apparatus for transferring membranes to a continuously operable sealing carrousel for the heat sealing

of can-like packaging materials, a rotatable transfer station being arranged upstream of the sealing carousel.

Appellants explained on page 7 of the specification, line 16, that the apparatus for transferring membranes 13 (figure 3) to a continuously operable sealing carrousel 15 for the heat sealing of can-like packaging materials is represented in basic illustrations in plan view according to figures 1 and 2. Figure 1 shows the apparatus, the membrane star 10, in one of the resting phases, and figure 2 shows it in the movement phase. A double cutting tool 11 is, in principle, arranged above the membrane star 10, which circulates cyclically according to the invention.

Appellants further explained on page 7 of the specification, line 27, that this double cutting tool 11, which is indicated as a transversely depicted rectangle, is assigned a membrane strip 12, which can be fed cyclically to the double cutting tool 11 via a membrane-strip unwinding unit (not illustrated). The membrane star 10 has vacuum stations 17 (see figure 4), from 1 to 8, it being the case that, in the basic illustrations according to figures 1 and 2, it is only the course taken by the centers of the individual vacuum stations 1 to 8 which is illustrated in each case on the membrane star 10. Furthermore, the sealing carrousel 15 is depicted in the

center.

As set forth on page 8 of the specification, line 12, Figure 3 shows a plan view of the membrane strip in the cut state. It can be seen from figure 3 that in each case two membranes 13 are cut out of the membrane strip 12, from the positions designated x, by the double cutting tool 11. As a result of being divided up in this way, the membrane strip 12 is utilized to the optimum extent.

It is described in the last paragraph on page 8 of the specification, line 33, that the vacuum station 20/2' is located upstream of membrane transfer, the membrane transfer takes place in the central vacuum station 20/3', and the vacuum station 20/4' has already received the membrane 13 (not visible).

It is also stated on page 9 of the specification, line 18, that, shown on the left, as in figure 4, is the sealing carrousel 15 and, on the right, the membrane star 10, each in detail form.

Appellants also stated on page 10 of the specification, line 20, that, while the membrane star 10 is thus basically operated cyclically, by a step-by-step motion linkage (not

illustrated) executing the above-described movements in the transfer regions to the sealing carrousel 15, in every second standstill position in each case two membranes 13 are cut out of the membrane strip 12, and positioned on the membrane star 10, via the double cutting tool 11.

It is stated in the last paragraph on page 10 of the specification, line 29, that this provides an apparatus which serves a continuously operated sealing carrousel 15 for the heat sealing of can-like packaging materials, having a rotatable transfer station, which allows a throughput speed of sealable packaging materials in the sealing carrousel which is considerably higher than in the prior art, and which basically involves no problems relating to separating thin membranes/insert parts, since a storage station in the form of membranes stored one above the other is dispensed with.

Appellants explained on page 11 of the specification, line 2, that the operations of the membrane star receiving cut-out membranes from the membrane strip and of membrane being transferred from the membrane star to the sealing tool of the sealing carrousel can both be carried out with a high degree of reliability.

#### References Cited:

4,719,739 Foldesi January 19, 1988

4,682,463 Foldesi July 28, 1987

## Grounds of Rejection to be Reviewed on Appeal:

1. Whether or not claims 1-4 and 8-11 are anticipated by Foldesi (U.S. Patent No. 4,719,739) under 35 U.S.C. §102(b).

2. Whether or not claims 5-7 are obvious over Foldesi (U.S. Patent No. 4,719,739) in view of Foldesi (U.S. Patent No. 4,682,463) (hereinafter "'463") under 35 U.S.C. §103.

## Grouping of Claims:

Claims 1 and 10 are independent. Claims 2-9 depend on claim 1 and claim 11 depends on claim 10. The patentability of claims 2-9 and 11 are not separately argued. Therefore, claims 2-9 stand or fall with claim 1 and claim 11 stands or falls with claim 10.

Argument:

Claims 1 and 10 are not anticipated by Foldesi under 35 U.S.C. §102:

The Foldesi reference discloses a stop or standstill period of the vacuum head (80) at the foil supply and die set disk supply assembly (132), which is accomplished by means of the of a shaped dwell lobe (72) and dwell recess (74). Foldesi discloses in claim 1 that "supply means for supplying said flexible foil sealing disks to a supply point; dwell means for causing each said vacuum head to dwell momentarily at said supply point". Foldesi discloses in claim 11 that "continuous motion in-line sealer of claim 10, wherein said dwell means is a dwell lobe and an adjacent dwell recess on said lobed cam plate". Foldesi discloses that it is necessary for the continuously moving vacuum head (80) to dwell for a moment above the uppermost sealing disk (26) at supply point (14) so that the disk (26) will be properly centered on central vacuum plate (100). This is accomplished by the dwell lobe (72) and dwell recess (74) portions of lower lobed cam plate (68).

Claim 1 calls for inter alia:

a rotatable transfer station being disposed upstream of the sealing carrousel, the transfer station being constructed as a cyclically drivable membrane star.

Claim 1 also calls for inter alia:

effecting advancement of membranes positioned on the membrane star to the sealing carrousel during movement phases of the membrane star.

The Examiner's comments in item 2 on page 2 of the Office action that, "the membranes 26 are transferred to the membrane star during a dwell or resting period and then advanced to the sealing carrousel 48 during a movement phase of the star wheel" is not correct. The membranes (26) are advanced to a vacuum head (80) during a dwell of the vacuum head (80). The membranes are then placed from the vacuum head directly onto unsealed containers (20). The membranes (26) are never transferred to the sealer star wheel (48). Accordingly, the Examiner's statement that "the membranes 26 are transferred to the membrane star during a dwell or resting period and then advanced to the sealing carrousel 48 during a movement phase of the star wheel" is not correct. It is therefore respectfully submitted that the Examiner's comments regarding

the transfer of the membrane (26) to the star wheel during a movement phase of the star wheel be disregarded.

The reference does not show a rotatable transfer station being disposed upstream of the sealing carrousel, the transfer station being constructed as a cyclically drivable membrane star, as recited in claims 1 and 10 of the instant application. The Foldesi reference discloses that each vacuum head is disposed directly above a corresponding pocket in the sealer star wheel. The vacuum head accepts a membrane that is either in a stack or that is cut from a web. The vacuum head disposes the membrane directly on an unsealed container. Therefore, Foldesi does not disclose a drivable membrane star disposed upstream of a sealing carrousel. This is contrary to the invention of the instant application as claimed, in which a rotatable transfer station is disposed upstream of the sealing carrousel, the transfer station is constructed as a cyclically drivable membrane star.

Even though the Foldesi reference does not disclose a membrane star disposed upstream of the sealing carrousel the following arguments regarding the transfer of membranes to the vacuum heads during a dwell period is given. The reference does not show effecting advancement of membranes positioned on the membrane star to the sealing carrousel during movement phases of the membrane star, as recited in claims 1 and 10 of the instant application. The Foldesi reference discloses it is **necessary** for the continuously moving vacuum head (80) to dwell for a moment above the uppermost sealing disk (26) at supply point (14) so that the disk (26) will be properly centered on central vacuum plate Therefore, Foldesi does not disclose effecting advancement of membranes positioned on the membrane star to the sealing carrousel during movement phases of the membrane star. This is contrary to the invention of the instant application as claimed, which recites effecting advancement of membranes positioned on the membrane star to the sealing carrousel during movement phases of the membrane star.

Since claims 1 and 10 are believed to be allowable over Foldesi, dependent claims 2-4, 8, 9, and 11 are believed to be allowable over Foldesi as well.

The '463 reference does not make up for the deficiencies of Foldesi. Since claim 1 is believed to be allowable, dependent claims 5-7 are believed to be allowable as well.

Based on the above-given arguments, the honorable Board is therefore respectfully urged to reverse the final rejection of the Primary Examiner.

Respectfully submitted,

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## Appendix - Appealed Claims:

- 1. An apparatus for transferring membranes to a continuously operable sealing carrousel for the heat sealing of can-like packaging materials, comprising a rotatable transfer station being disposed upstream of the sealing carrousel, the transfer station being constructed as a cyclically drivable membrane star, and a cutting tool for membrane-strip processing being disposed above the membrane star for effecting transfer of cut-out membranes from the membrane strip to the membrane star during resting phases of the membrane star and for effecting advancement of membranes positioned on the membrane star to the sealing carrousel during movement phases of the membrane star.
- 2. The apparatus as claimed in claim 1, further comprising a number of vacuum stations disposed on the membrane star.
- 3. The apparatus as claimed in claim 2, wherein the individual vacuum stations on the membrane star are constructed such that, where the membranes are transferred to/received by the respective sealing head, the stations can be returned via an entry curve.

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4. The apparatus as claimed in claim 1, wherein the membrane-strip feed to the cutting tool is disposed laterally above the membrane star.

5. The apparatus as claimed in claim 1, wherein the membrane-strip feed to the cutting tool is disposed at a feed angle of approximately 30 degrees.

6. The apparatus as claimed in claim 1, wherein during each resting phase of the membrane star, in each case two membranes can be transferred from the membrane strip, by way of a double cutting tool, to the membrane star.

7. The apparatus as claimed in claim 6, further comprising ejectors for the cut-out membranes integrated in each case in the cutting punches of the double cutting tool.

8. The apparatus as claimed in claim 1, further comprising a vacuum station in the form of a collector/ejector integrated within each sealing head on the sealing carrousel.

9. The apparatus as claimed in claim 1, wherein the membrane star is constructed such that it can be driven by a step-by-step motion linkage.

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10. A method for transferring membranes to a continuously operable sealing carrousel for heat sealing of can-like packaging materials, the method which comprises:

placing a rotatable transfer station constructed as a cyclically drivable membrane star upstream of the sealing carrousel;

placing a cutting tool above the membrane star and cutting membranes from membrane-strip with the cutting tool;

transferring cut-out membranes from the membrane strip to the membrane star during resting phases of the membrane star; and

advancing the cut-out membranes to the sealing carrousel during movement phases of the membrane star.

11. The method according to claim 10, which further comprises disposing a number of vacuum stations on the membrane star.

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